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Docket No.: NEC 01FN073  
Date: February 15, 2002

BOX PATENT APPLICATION  
ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

Dear Sir:

Transmitted herewith for filing is the patent application of:

**Inventor:** SHIRAKAWA et al.  
**For:** OPTICAL DISK DEVICE

Enclosed are the following:

- ☐ Letter: SUBMISSION OF INCOMPLETE APPLICATION
- ☒ Specification 46 pages; Claims 5 pages; Abstract 1 page
- ☒ Declaration and Power of Attorney
- ☒ Sheet(s) of drawings 9 pages
- ☒ An assignment of the invention to: NEC CORPORATION
- ☒ A certified copy of Japanese application No. 2001-039586, filed February 16, 2001
- ☒ Prior Art Disclosure Statement
- ☐ Preliminary Amendment

☐ Applicant claims small entity status. See 37 CFR 1.27.

Priority is hereby claimed under 35 USC 119 by way of Japanese patent application  
No. 2001-039586 filed February 16, 2001.

Benefit is hereby claimed under Title 35, United States Code 119(e) of United States provisional  
application No. \_\_\_\_\_ filed \_\_\_\_\_.


The filing fee has been calculated as shown below:

		SMALL ENTITY	LARGE ENTITY
BASIC FEE:		\$370.00	\$740.00
TOTAL CLAIMS:	13 - 20 =	x 9 =	x 18 =
INDEPENDENT CLAIMS:	2 - 3 =	x 42 =	x 84 =
MULT. DEPEND. CLAIMS:		+ 140	+ 280=
TOTAL:		\$	\$ 740.00

☒ Form PTO-2038 authorizing credit card payment in the amount of \$ 780.00 to cover the fees is enclosed.

☒ (\$40.00 Assignment recordal fee is included)

The Commissioner is hereby authorized to charge any additional filing fees required under 37 CFR 1.16 or credit any overpayment to Deposit Account No. 08-1391

  
Norman P. Soloway  
Attorney of Record  
Reg. No. 24,315

CERTIFICATE OF EXPRESS MAILING

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Signature of person mailing: Sharon McKniff  
Name of person mailing: Sharon McKniff

**Change Of Attorney Or Agent's Address In Application**  
**(37 CFR 1.8(a))**

Docket No.  
**NEC 01FN073**

In Re Application Of: **SHIRAKAWA et al.**

Serial No.

Filing Date  
**February 15, 2002**

Examiner

Group Art Unit

Invention:

**OPTICAL DISK DEVICE**

**TO THE ASSISTANT COMMISSIONER FOR PATENTS**

Please send all correspondence for this application to:

**Norman P. Soloway**  
**HAYES SOLOWAY P.C.**  
**130 W. Cushing Street**  
**Tucson, Arizona 85701**

Please direct all telephone calls to:

**520-882-7623**

Dated: **February 15, 2002**

*Signature of Attorney or Agent of Record*

**Reg. No. 24,315**  
**Norman P. Soloway**  
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**Tucson, Arizona 85701**

*Registration Number & Address of Attorney or Agent of Record*

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**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

Applicant(s):

Docket No.

NEC 01FN073

Serial No.

Filing Date

February 15, 2002

Examiner

Group Art Unit

Invention:

OPTICAL DISK DEVICE

I hereby certify that the following correspondence:

Change of Attorney Or Agent's Address In Application (37 CFR 1.8(a))

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February 15, 2002*(Date)*Sharon McKniff*(Typed or Printed Name of Person Mailing Correspondence)**(Signature of Person Mailing Correspondence)*ET956807027US*("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**